## ANNUAL REPORT TO THE LEGISLATURE Pursuant to §55-102 of the Putnam County Code of Ethics and Financial Disclosure Law

Under §55-102 of the Putnam County Code of Ethics and Financial Disclosure Law, Chapter 55 of the Code of Putnam County, the Board of Ethics is required to submit an annual report of its operations to the Legislature.

During 2011, the Board met five times. All five of those meetings were open to the public (except for executive sessions to review disclosure statements and complaint specifics). The Board received and accepted the resignation of Paul Eldridge as he was appointed to serve as County Executive during 2011. No replacement was appointed. Members Patricia Santamorena and Alfonso Lotrecchiano were re-appointed for a three year term to end December 31, 2013.

Under the Code, one of the Board's primary responsibilities is to review the Financial Disclosure Statements filed by County officers and employees. The review is to assure that the filed forms are complete and responsive on their face to the requirements of the law. The Board's review is done in executive session. The following is the record of Financial Disclosure Forms for 2010 reviewed and accepted or returned for clarification or completion during 2011:

March	Accepted 81 forms
May	Accepted 62 forms
June	Accepted 9 forms
September	Accepted 12 forms
October	Accepted 11 forms

The following is a summary of the Board's other activities in 2011:

- Reviewed and issued advisory opinions regarding five inquiries about potential conflicts of interest.
- Reviewed case forwarded to the Board by the District Attorney's Office; determined that the complaint did not fall under the jurisdiction of this Board. Both the County District Attorney's Office and the State Attorney General's Office were notified of such finding.
- Research of the possibility of electronic submission and acceptance of the financial disclosure forms indicates that development of such submissions is not possible at this time due to both financial and personnel constraints.

Dated: February 27, 2012

Rous c Bringel

PUTNAM COUNTY BOARD OF ETHICS Robert Bickford, Chair Patricia Santamorena, Vice Chair Kathleen Delamere, Member Alphonse Lotrecchiano, Secretary

Attached hereto is the report "Officers Who Did Not Submit Financial Disclosure Forms, or Whose Filing is Incomplete"