



PUTNAM COUNTY BOARD OF ETHICS

Robert C. Bickford, *Chair*
Alfonso Lotrecchiano, *Vice Chair* Paul Eldridge, *Secretary*
Kathleen F. Delamere

ANNUAL REPORT TO THE COUNTY LEGISLATURE AND COUNTY EXECUTIVE

Pursuant to §55-22 of the Putnam County Code of Ethics and Financial Disclosure Law

Under §55-22 of the Putnam County Code of Ethics and Financial Disclosure Law, Chapter 55 of the Code of Putnam County, the Board of Ethics is required to submit, prior to March 15 of each year, a report to the County Executive and the Legislature of the Board's activities in the preceding year.

The nominations of two Board members for re-appointment to the Ethics Board were approved by the County Legislature and the County Executive, effective January 3, 2013. Paul Eldridge and Robert Bickford were re-appointed to three-year terms, both terms to expire on December 31, 2015. As of December 31, 2013, Patricia Santamorena, Vice Chair, retired from the Board. Alphonso Lotrecchiano has since been elected Vice Chair in her place and. Paul Eldridge has succeeded Mr. Lotrecchiano as Secretary.

During 2013, the Board of Ethics met six times. All meetings were open to the public (except for executive sessions to review disclosure statements and consider complaints and requests for advisory opinions).

The following were the principal activities of the Board during 2013:

- The Board followed up a 2011 inquiry to the County Attorney regarding the responsibility and appropriate action of the Board if the Board becomes aware of facts that might constitute a conflict of interest. The Board is awaiting clarification of this issue.
- The Board continued to monitor litigation involving the issues underlying a complaint alleging violations of the Code of Ethics; which had, on advice of the County Attorney, been referred to outside counsel for investigation and advice (Legislative approval was obtained to retain such outside counsel).
- The Board received a complaint from the Communication Workers of America, District 1, regarding a potential contract between the MTA and Cablevision. This complaint was forwarded to the County Attorney as it would not come under the jurisdiction of the Ethics Board.
- The Board responded to requests for advisory opinions from two County officers or employees with respect to their outside employment or committee commitments.
- The Board received one F.O.I.L. request seeking a copy of Local Law 15 and "the basis for filing violations." The F.O.I.L. was referred to the County Attorney.

Annual Financial Disclosure Statements

Under the Code, one of the Board's primary responsibilities is to review the annual financial disclosure statements filed by County officers and employees. The review is to assure that the filed forms are complete and responsive on their face to the requirements of the law. The Board's review is done in executive session.

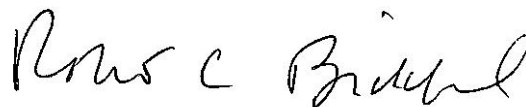
2013 was the first year of electronic filing for the majority of required submissions. Although generally successful, some changes to the system were determined necessary and were made prior to the 2014 filing requirement. The Board continues to work to improve the ease and efficacy of online filing of these annual statements.

The following is the record of Financial Disclosure Forms reviewed and accepted during 2013:

March	Accepted 40 forms
April	Accepted 31 forms
June	Accepted 91 forms
August	Accepted 30forms
September	Accepted 7 forms
November	Accepted 7 forms

In addition, 16 officers did not file at all; they are listed on the attachment. In a separate memorandum, the Board is recommending imposition of a civil penalty on certain of such non-filers who have been delinquent for more than one year.

Dated: February 28 2014



PUTNAM COUNTY BOARD OF ETHICS
Robert Bickford, Chair
Alphonse Lotrecchiano, Vice Chair
Paul Eldridge, Secretary
Kathleen Delamere, Member

Attachment

Putnam County Board of Ethics
Report on Annual Financial Disclosure Statements - 2013

Advisory Board Members Who Have Not Filed:

Abbe E. Blume	Coordinating Council for People with Disabilities
Sandra Casey	Coordinating Council for People with Disabilities
Anita Schwartz****	Coordinating Council for People with Disabilities
Richard Tofte	Emergency Services Safety Advisory Board
Gregory Wunner**	Region 3 Fish & Wildlife Management Board
Richard Pepe	Alternate Member, Traffic Safety Board
James Sullivan**	Veterans Memorial Park Advisory Board

Policy Board Members Who Have Not Filed:

Juliann Butler	Board of Electrical Examiners
Phillip McMurray	Board of Electrical Examiners
Michael Gaesser****	Board of Health
Michael Porcelli	Home Improvement Board
Russell Bleakley	Plumbing Board
Marissa Brett Mammoza****	Putnam County Industrial Development Agency
Vincent Murphy****	Putnam County Industrial Development Agency
Michael Griffin	East of Hudson Corporation
Katherine Doherty	East of Hudson Corporation

*number of asterisks indicates number of years not filed